

have a proper claim under 35 U.S.C. §120 and 1.78 to the benefit of a prior filed application.” This same notice also states that where the Patent Office has previously recognized the claim to priority, **no petition or surcharge is required** to make an amendment to the specification to claim priority. In this case, since the Patent Office has already recognized the claim to priority (as evidenced by the attached copy of the Official Filing Receipt), this amendment should be entered without a petition or surcharge.

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: February 6, 2002

By: Michael J. Pollock

Michael J. Pollock  
Reg. No. 29,098

Attorneys for Applicant(s)

RECEIVED  
FEB 20 2003

RECEIVED  
FEB 13 2003  
TECHNOLOGY CENTER 2800  
RC 1700 MAIL ROOM



NSC1-F4020



## UNITED STATES PATENT AND TRADEMARK OFFICE

O I P E  
FEB 21 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/912,207	07/24/2001	1756	710	NSC1-F4020	4	1	

RECEIVED

SEP 25 2001

STALLMAN &amp; POLLOCK LLP

FILING RECEIPT

OC000000008550571\*

CONFIRMATION NO. 8140

Stallman & Pollock LLP  
Suite 290  
121 Spear Street  
San Francisco, CA 94105

Date Mailed: 09/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Haydn James Gregory, Palo Alto, CA;

PRIOR ART STATEMENT DUE: 08-17-01 taken

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/261,989 03/04/1999

FOREIGN FILING LETTER DUE: \_\_\_\_\_

FOREIGN FILING DEADLINE: \_\_\_\_\_

## Foreign Applications

18 MONTH SUSPENSE DATE: 01-24-03 CAS

If Required, Foreign Filing License Granted 09/13/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Single metal pixel array for light valve utilizing lateral sublithographic spacer isolation

Preliminary Class 100  
430  
100 MAIL ROOM  
FEB 13 2003

RECEIVED

COPY OF PAPERS  
ORIGINALLY FILED

TC 1700

OCT 15 2002  
100 MAIL ROOM

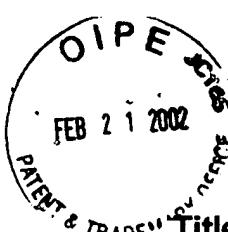
RECEIVED  
RECEIVED

OCT - 9 2002  
TECHNOLOGY CENTER

Data entry by : TRAN, TUAN

Team : OIPE

Date: 09/14/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Cod , Section 184  
Title 37, Code of Federal R gulations, 5.11 & 5.15**

**RECEIVED  
OCT -9 2002  
TECHNOLOGY CENTER 2800**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**COPY OF PAPERS  
ORIGINALLY FILED**

**RECEIVED**

MAR 01 2002

**RECEIVED FEB 13 2003 TC 1700**